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U.S.PTO

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|---|------------------------|---|
| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. | 501.43439X00 |
| | First Inventor | Toshihiro KUJIRAI |
| | Title | SPEECH INTERACTION TYPE ARRANGEMENTS |
| | Express Mail Label No. | |

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22553

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APPLICATION ELEMENTS**SEE MPEP chapter 600 concerning patent application contents.****ADDRESS
TO:**Mail Stop Patent Application
Commissioner for Patents
POB 1450
Alexandria, Virginia 22313-1450

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification *[Total Pages: 41]*
 - Descriptive title of the invention (or title page)
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Formal Drawing(s)(35 U.S.C. 113) *[14 Shts.: Figs. 1-20]*
- Oath or Declaration *[Total Pages: ____]*
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
 - FILED WITHOUT DECLARATION**
- Application Data Sheet (See 37 CFR §1.76)

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
if applicable, all necessary
 - Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment(s)/Recordation Cover Form(s) PTO-1595
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee) (In Declarations)
11. English Translation Document *(if applicable)*
12. Information Disclosure Copies/IDS Refs.
Statement (IDS)/PTO-1449
13. Preliminary Amendment/Claim for §119 Priority
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Form PTO-2038 (Codes 1001/1201)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Division Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: Group Art Unit: Confirmation No.:

CONTINUATION/DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

19. CORRESPONDENCE ADDRESS

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Customer No./Bar Code Label | 020457 <i>(Insert Customer No./Attach bar code label here)</i> | or <input type="checkbox"/> Correspondence address below |
|---|---|--|

| | | | |
|------|--------------------------------------|--|--|
| Name | ANTONELLI, TERRY, STOUT & KRAUS, LLP | | |
|------|--------------------------------------|--|--|

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|---------|--|--|--|
| Address | | | |
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| | | |
|---------|-----------|----------|
| City | State | Zip Code |
| Country | Telephone | Fax |

| | | | |
|------|---------------------|-----------------------------------|--------|
| Name | Paul J. SKWIERAWSKI | Registration No. (Attorney/Agent) | 32,173 |
|------|---------------------|-----------------------------------|--------|

| | | | |
|-----------|----------------------------|------|-----------------|
| Signature | <i>Paul J. Skwierawski</i> | Date | 29 January 2004 |
|-----------|----------------------------|------|-----------------|

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$856.00)

| Complete if Known | |
|----------------------|-------------------|
| Application Number | Unassigned |
| Filing Date | 29 January 2004 |
| First Named Inventor | Toshihiro KUJIRAI |
| Examiner Name | Unassigned |
| Group Art Unit | Unassigned |
| Attorney Docket No. | 501.43439X00 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge DEFICIENCIES indicated to:

Deposit Account Number 01-2135

Deposit Account Name ATS&K

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant Claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

 Check Credit Card Money Order Other

FEE CALCULATION

2. BASIC FILING FEE

| Large Entity Fee Code (\$) | Fee | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|-----|----------------------------|---------------------------|----------|
| 1001 | 770 | 2001 | 385 Utility filing fee | |
| 1002 | 340 | 2002 | 170 Design filing fee | |
| 1003 | 530 | 2003 | 265 Plant filing fee | |
| 1004 | 770 | 2004 | 385 Reissue filing fee | |
| 1005 | 160 | 2005 | 80 Provisional filing fee | |

SUBTOTAL (1)

\$770.00

1. EXTRA CLAIM FEES

| Total Claims | 20-20** | = | 00 | x\$18 | = | \$00 | Fee from below | Fee Paid |
|--------------------|---------|---|----|--------|---|---------|----------------|----------|
| Indep. Claims | 4-3** | = | 01 | x\$ 86 | = | \$86.00 | | |
| Multiple Dependent | | | | | | | = | |

Large Entity Fee

| Large Entity Fee Code (\$) | Fee | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|-----|----------------------------|--|
| 1201 | 18 | 2201 | 9 Claims in excess of 20 |
| 1202 | 86 | 2202 | 43 Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$86.00

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code (\$) | Large Entity Fee | Small Entity Fee | Fee Description | Fee Paid |
|-----------------------------------|------------------|------------------|---|----------|
| 105 | 130 | 205 | 65 Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 Surcharge – late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 Non-English specification | |
| 147 | 2,520 | 147 | 2,520 For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examination action | |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 Extension for reply within first month | |
| 116 | 400 | 216 | 200 Extension for reply within second month | |
| 117 | 920 | 217 | 460 Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 Notice of Appeal | |
| 120 | 320 | 220 | 160 Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 Petition to revive – unavoidable | |
| 141 | 1,280 | 241 | 640 Petition to revive – unintentional | |
| 142 | 1,280 | 242 | 640 Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 Design issue fee | |
| 144 | 620 | 244 | 310 Plant issue fee | |
| 122 | 130 | 122 | 130 Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 For each additional invention to be examined (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application | |
| Other fee (specify) | | | | |
| *Reduced by Basic Filing Fee Paid | | | | |
| SUBTOTAL (3) (\$00) | | | | |

SUBMITTED BY

Complete (if applicable)

| Name (Print/Type) | Paul J. SKWIERAWSKI | Registration No. (Attorney/Agent) | 32,173 | Telephone | 703-312-6600 |
|-------------------|---|-----------------------------------|--------|-----------|-----------------|
| Signature |  | | | Date | 29 January 2004 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.